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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
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69**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

RECORDING AND REPRODUCING APPARATUS AND RECORDING MEDIUM

and invented by:

NOBUO OGATA

JCS:0 U.S. PTO  
09/590221  
05/08/00

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**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 57 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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69

## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets                      12
- b. ☐ Informal                      Number of Sheets                      \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy)                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
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- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449                      ☒ Copies of IDS Citations
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UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)

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Accompanying Application Parts (Continued)

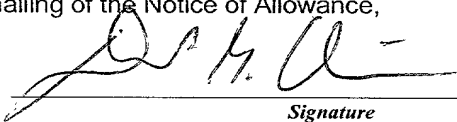
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
Certified Copy of Japanese Patent Application No. 11-163135, Filed 6/9/99
16. ☐ Additional Enclosures (please identify below):

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Indep. Claims	2	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
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Dated: June 8, 2000

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Serial No. <b>Not Yet Assigned</b>	Filing Date <b>Filed Herewith</b>	Examiner <b>Not Yet Assigned</b>		Group Art Unit <b>Not Yet Assigned</b>
Invention: <b>RECORDING AND REPRODUCING APPARATUS AND RECORDING MEDIUM</b>				

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**RECORDING AND REPRODUCING APPARATUS AND RECORDING MEDIUM**

**FIELD OF THE INVENTION**

The present invention relates to a recording and reproducing apparatus capable of judging whether a wobbled side wall of a track in a one side wobble optical disk is at an inner or outer radius of the track by means of polarity judgment, and to a recording medium employed in such a recording and reproducing apparatus.

**BACKGROUND OF THE INVENTION**

With a widespread use of a so-called multimedia technique in recent years, a huge volume of data including digital still images, motion pictures, etc. have been handled. Such a huge volume of data is stored in a recording medium of a large capacity, such as an optical disk, and reproduced as necessary by means of

Further, some kinds of optical disks, such as a magneto-optical disk, are erasable (overwritable) and used extensively as recording media in handling a huge volume of data including digital still images and motion pictures, etc.

The pits and projections are also used to create address information of the track beforehand. In other words, a carrier of a specific frequency is modulated by a cluster number or a sector number indicating a position on the recording medium, and the projection forming the grooves is wobbled in accordance with this modulation signal in advance, so that the shape (wobbled shape) of the side wall of the grooves thus formed indicates address information of the track.

In order to record an increased volume of data into the recording medium, such as an optical disk and a magneto-optical disk, data is recorded at a high density

The following will explain an example optical disk disclosed in Japanese Laid-open Patent Application No. 259441/1997 (Japanese Official Gazette, *Tokukaihei* No. 9-259441, publishing date: October 3, 1997) (hereinafter, referred to as Document 1) with reference to Figures 11 and 12.

In other words, the optical disk 110 is provided with the wobbling groove G1 and DC groove G2 aligned alternately along the radius direction, and as shown in Figure 12, information is recorded into lands L1 and L2 formed between the wobbling groove G1 and DC groove G2.

The wobbling groove G1 and DC groove G2 are aligned alternately per rotation, which means two-track pitch is secured between the adjacent wobbling grooves G1. This arrangement can suppress crosstalk (interference from the

side wall of the other tracks) caused when reading the address information from the side wall of the wobbling groove G1.

In addition, because the wobbling groove G1 or DC groove G2 is provided between the adjacent lands L1 and L2, crosserase can be also suppressed. In this manner, a recording medium having a narrower track pitch and hence a higher recording density can be realized.

Incidentally, the address information of a particular track, for example, the address information of the lands L1 and L2 of Figure 12 is formed as the shape of the wobbling groove G1 provided at the inner or outer radius of the lands L1 and L2. In other words, the land L1 at the inner radius of the wobbling groove G1 and the land L2 at the outer radius of the wobbling groove G1 share the address information.

Hence, when information is recorded/reproduced into/from the optical disk 110, it is necessary to conduct track area judgment (wobbling polarity judgment), by which whether the address information of an area being tracked is the one for a first track area (land L1) at the outer radius of the wobbling groove G1 or a second track area (land L2) at the inner radius of the wobbling groove G1 is judged.

In case that three laser beams (a main beam used in



recording/reproducing data and two sub-beams used in detecting a tracking error) are used, the track area judgment is generally conducted by using reflection light of the two sub-beams. For example, as shown in Figure 12, a main beam MB1 is irradiated at the center of the first track area (land L1), while a first sub-beam SB1 is irradiated at the center of the wobbling groove G1 at the inner radius of the land L1 and a second sub-beam SB2 is irradiated at the center of the DC groove G2, and a tracking error signal is detected by means of the DPP (Differential Push Pull) technique. Then, the track area judgment (wobbling polarity judgment) is conducted by comparing a wobble signal obtained from reflection light of the first sub-beam SB1 with a wobble signal obtained from reflection light of the second sub-beam SB2.

Here, as shown in Figure 12, in case that the first sub-beam SB1 preceding the main beam MB1 is irradiated at the inner radius and the second sub-beam SB2 following the main beam MB1 is irradiated at the outer radius, if the wobble signal obtained from the first sub-beam SB1 is greater than the one obtained from the second sub-beam SB2, then it is judged that the wobbling groove G1 is at the inner radius of the disk in comparison with the main beam MB1, and therefore, the area being tracked is the first track area (land L1) at the outer radius of the

wobbling groove G1.

However, this technique demands three laser beams, and has a problem that it can not be realized by using an optical pick-up emitting only one laser beam.

In addition, because the wobble signal obtained from the first sub-beam SB1 is compared with the one obtained from the second sub-beam SB2 in largeness, the positional relation among the first sub-beam SB1 and second sub-beam SB2 and the track has to be set precisely.

Further, when using three laser beams, the irradiation intensity of the first sub-beam SB1 and second sub-beam SB2 has to be set to approximately 10% of the irradiation intensity of the main beam MB1, so that the first sub-beam SB1 and second sub-beam SB2 will not erase recorded data when recording a signal. This lowers an S/N ratio of output signals obtained from the first sub-beam SB1 and second sub-beam SB2, thereby causing a problem that an error readily occurs in the track area judgment.

In order to solve this problem, Document 1 discloses a technique, by which the track area judgment is conducted not by using three laser beams but only one laser beam. For example, according to this publication, one laser beam is irradiated at the position to which the main beam MB1 is irradiated in Figure 12, and, in the

Also, Japanese Laid-open Patent Application No. 40549/1998 (Japanese Official Gazette, *Tokukaihei* No. 10-40549, publishing date: February 13, 1998) (hereinafter, referred to as Document 2) discloses a technique using only one laser beam, by which the track area judgment is conducted by comparing a wobble signal obtained when detracking a track toward the inner radius and a wobble signal obtained when detracking a track toward the outer radius.

In addition, because each apparatus is different from the others, a difference should be corrected by replacing wiring or changing switch setting, thereby causing a problematic increase in the manufacturing

costs.

Further, given  $\lambda$  as a wavelength of a light source used for an optical head of the recording and reproducing apparatus, then the large-and-small relation of the wobble signals inverts depending on whether the depth of the groove of the recording medium is greater or smaller than  $\lambda/4$ . This problematically limits the depth of the groove of a used recording medium.

Also, according to the technique disclosed in Document 2, the track area judgment is conducted after the wobble signals are measured at least in two tracking states, which makes a real-time judgment impossible. Thus, in case that the first and second track areas are wobbled by the common address information, even if an unwanted track jump occurs, it can not be detected from the address information. Therefore, there rises a problem that when a signal is recorded, recorded data is broken, and when a signal is reproduced, data can not be reproduced continuously.

#### SUMMARY OF THE INVENTION

The present invention is devised to solve the above problems, and therefore, has an object to provide (1) a recording and reproducing apparatus which can judge in real time whether a wobbled side wall of a track being

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In order to fulfill the above and other objects, a recording and reproducing apparatus of the present invention is a recording and reproducing apparatus for recording/reproducing information into/from a recording medium provided with a first track area where one side wall is wobbled and a second track area where the other side wall at a non-wobbled side in the first track is wobbled, comprising:

a first wobble signal detecting circuit for detecting a first wobble signal from a difference signal of outputs from the two light receiving sections of the photo-detector;

a second wobble signal detecting circuit for detecting a second wobble signal from a sum signal of the outputs from the two light receiving sections of the

photo-detector; and

a wobbling polarity judging signal generating section for generating a wobbling polarity judging signal used in judging a wobbling polarity of a track being tracked by comparing phases of the first and second wobble signals detected.

According to the above-arranged recording and reproducing apparatus, the wobbling polarity judging signal generating circuit generates the wobbling polarity judging signal used in judging the wobbling polarity of a track being tracked by comparing the phases of the first and second wobble signals detected separately from reflection light from the track. Thus, the wobbling polarity of the track can be judged at high accuracy in real time by using one laser beam.

Hence, by providing a track area judging circuit for judging whether a track area being tracked is a first track area or a second track area in accordance with the wobbling polarity judging signal generated by the wobbling polarity judging signal generating circuit, the track area can be judged in real time.

Because the track area is judged in real time based on the wobbling polarity, even if an unwanted track jump occurs in a recording medium in which common address information is wobbled in the first and second track

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areas, a signal can be recorded/reproduced continuously into/from a track area which had been controlled right before the track jump occurred.

Consequently, even if an unwanted track jump occurs, a signal can be recorded into a recording medium without breaking recorded data and data can be reproduced continuously when reproducing recorded signals from the recording medium.

In order to fulfill the above and other objects, a recording medium of the present invention is a recording medium provided with a first track area where one side wall is wobbled and a second track area where the other side wall at a non-wobbled side in the first track is wobbled, in which an adjusting area indicating a correspondence of a wobbling polarity to a track area is formed.

According to the above arrangement, by providing the adjusting area showing a correspondence of the wobbling polarity to the track area to the recording medium, the correspondence of the wobbling polarity to the track area can be initialized for each recording medium, thereby making it possible to set a new correspondence of the wobbling polarity to the track area. Consequently, a reference recording medium used in adjusting the correspondence of the wobbling polarity to the track area

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Thus, by setting a correspondence of the wobbling polarity to the track area in each recording medium, a respective correspondence can be set for each of the recording media of two kinds having opposite phase relation between the first and second wobble signals having different depths of the grooves. Thus, the limitation of the depth of the groove in a used recording medium can be removed.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a view schematically showing an arrangement of a major portion of a recording and reproducing apparatus of the present invention;

Figure 2 is a view schematically showing an arrangement of the entire recording and reproducing apparatus of Figure 1;

Figure 3 is a view schematically showing an arrangement of a recording/reproducing section provided in the recording and reproducing apparatus of Figure 2;

Figure 4 is a view schematically showing an



Figures 11 is a view schematically showing an example optical disk as a recording medium; and

DESCRIPTION OF EMBODIMENTS

The following description will describe one embodiment of the present invention.

Before a recording and reproducing apparatus of the present invention will be explained, a recording medium employed by the recording and reproducing apparatus will be explained.

In other words, as shown in Figure 12, the optical disk 10 is provided with the wobbling groove G1 and DC groove G2 aligned alternately along the radius direction, and information is recorded and reproduced into/from lands L1 and L2 provided between the wobbling groove G1 and DC groove G2.

Incidentally, the address information of the tracks (lands L1 and L2) on the optical disk 10 is created beforehand as the shape of the wobbling groove G1 at the inner or outer radius of the tracks, and the address information is shared by the track (land L1) at the outer radius of the wobbling groove G1 and the track (land L2) at the inner radius of the wobbling groove G1.

Thus, when information is recorded/reproduced into/from the optical disk 10, it is necessary to judge the address information by means of track area judgment (wobbling polarity judgment) so as to judge whether the track in question is on the land L1 (first track area) at the outer radius of the wobbling groove G1 or on the land L2 (second track area) at the inner radius of the wobbling groove G1.

The recording and reproducing apparatus of the present invention conducts the track area judgment of the optical disk 10 in real time by a simple structure using one laser beam. The following will explain one embodiment of the recording and reproducing apparatus of the present invention.

As shown in Figure 2, the recording and reproducing apparatus of the present embodiment includes a data modulating circuit 1, a recording head control circuit 2, a system controller 3, a recording/reproducing section 4,

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The data modulating circuit 1 converts input data of a specific kind into codes of a particular recording format compatible to the optical disk 10, and outputs the same to the recording head control circuit 2.

The recording/reproducing section 4 not only records the codes supplied from the data modulating circuit 1 into the recording medium 10 as has been discussed, but also reads and reproduces recorded data from the optical medium 10 by emitting a laser beam to the optical disk 10



The wobble signal processing circuit 9 outputs the generated wobbling polarity judging signal to the system controller 3, and the track area judging signal and physical address signal to the address decoder 5.

The address decoder 5 computes a logical address (address assigned uniquely to the track) from the physical address signal and track area judging signal supplied from the wobble signal processing circuit 9, and outputs the same to the system controller 3 as a logical address signal.

The system controller 3 outputs a specific control signal to the servo circuit 7 based on the logical address signal supplied from the address decoder 5. Also, upon supply of a signal for a particular manipulation (manipulation related to information



The following will explain the judgment process of the occurrence of an unwanted track jump in regard to the



In case of the first track access method, the wobbling polarity does not invert unless the track area is switched in a normal access state where information is recorded or reproduced continuously. Thus, an abnormal inversion can be detected instantaneously upon detection of inversion of the wobbling polarity. In this case, because the wobbling polarity judging signal generated by the wobble signal processing circuit 9 is a signal that shows inversion of the wobbling polarity, the system controller 3 judges the inversion of the wobbling polarity thus indicated as abnormal inversion.

In case of the second track access method, the wobbling polarity inverts each time the optical disk 10 rotates once in the normal access state where information is reproduced or recorded continuously. Thus, an abnormal inversion of the wobbling polarity can be judged upon detection of an irregular polarity inversion (different from a predetermined polarity inversion). In this case, the wobbling polarity judging signal generated by the wobble signal processing circuit 9 is a signal



In addition, the servo circuit 7 controls a spindle motor in the recording/reproducing section 4 based on the rotation synchronizing signal from the wobble signal processing circuit 9, and turns the optical disk 10 at a specific rate. On the other hand, the servo circuit 7 generates a control signal controlling the recording/reproducing action of the recording/reproducing section 4 based on the control signal from the system controller 3, and outputs the same to the recording/reproducing section 4.

Generally, the data demodulating circuit 8 is

connected to the data output means through a buffer memory so as to reproduce information continuously even if an abnormal track jump occurs. A storage capacity of the buffer memory provided in the data demodulating circuit 8 can be small, because it takes only a short time to control the reading/reproducing action by the recording and reproducing apparatus of the present embodiment.

Next, the following will provide detailed explanation of the wobble signal processing circuit 9.

As has been discussed, the wobble signal processing circuit 9 receives the first and second wobble signals generated by the recording/reproducing section 4, and generates the rotation synchronizing signal, physical address signal, wobbling polarity judging signal, and track area judging signal based on these first and second wobble signals.

In the first place, a brief explanation of how the first and second wobble signals are generated by the recording/reproducing section 4 will be given.

As shown in Figure 1, the recording/reproducing section 4 is provided with a photo-detector 60 for receiving reflected light from the optical disk 10. The photo-detector 60 is composed of two light receiving sections 60A and 60B divided by a dividing line 61a along

The outputs of the light receiving sections 60A and 60B are connected to both a differential amplifier 62 and an adder amplifier 65.

On the other hand, a wobbling component is extracted from a sum signal (total signal) outputted from the adder amplifier 65 by a band-pass filter (BPF) 66, and inputted as the second wobble signal to a physical address detecting circuit 67 and the phase comparing circuit 68 provided in the wobble signal processing circuit 9. In other words, the adder amplifier 65 and band-pass filter 66 constitute second wobble signal detecting means.

The wobble signal processing circuit 9 includes the

Upon receipt of the first wobble signal from the recording/reproducing section 4, the physical address detecting circuit 64 extracts the rotation synchronizing signal from the first wobble signal and outputs the same to the servo circuit 7, and extracts the physical address signal and outputs the same to the address decoder 5. The servo circuit 7 controls the number of rotations of the optical disk 10 based on the rotation synchronizing signal.

The phase comparing circuit 68 constitutes wobbling polarity judging signal generating means which generates the wobbling polarity judging signal used in conducting the wobbling polarity judgment by comparing the phases of

the first and second wobble signals inputted from the recording/reproducing section 4 and outputs the same to the system controller 3 serving as recording/reproducing control means and the track area judging circuit 69 serving as track area judging means. The wobbling polarity judgment by the phase comparing circuit 68 will be explained in detail below.

The track area judging circuit 69 is connected to the memory 70 serving as storage means having stored a correspondence of the wobbling polarity to the track area. The memory 70 is a memory from which the correspondence can be read out and into which the correspondence can be written. Detailed explanations of how the correspondence of the wobbling polarity to the track area is set will be given below.

The track area judging circuit 69 computes the track area judging signal based on the correspondence of the wobbling polarity to the track area stored in the memory 70, and outputs the same to the address decoder 5.

The address decoder 5 computes a logical address signal unique to the track from the track area judging signal and physical address signal from the wobble signal processing circuit 9 and manages the addressees.

As shown in Figure 1, the wobble signal processing circuit 9 generates the rotation synchronizing signal and

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In other words, the magnetic head 33 and optical head 34 operate in response to the control signal supplied from the recording head control circuit 2, and respectively generate a magnetic field and a laser beam when recording specific data (codes from the data modulating circuit 1) into the optical disk 10. The recording method adopted herein is a method, by which the optical head 34 emits a pulse in sync with a recording



clock while the magnetic field of the magnetic head 33 is modulated.

The optical head 34 emits a laser beam onto the optical disk 10, receives reflection light, and outputs an electrical signal in response to a quantity of the received light to the signal processing section 23. The signal processing section 23 generates the servo signal (tracking error signal, focus error signal), data detecting signal, and wobble signal from the input electrical signal, and outputs the servo signal to the servo circuit 7, and the data detecting signal and wobble signal to the data demodulating circuit 8 and wobble signal processing circuit 9, respectively. The signal processing by the signal processing section 23 will be explained in detail below.

In addition, the driving section 22 includes a spindle motor 31 which turns the optical disk 10 and a mechanical deck 32 for moving the recording/reproducing head 21, and operates in response to the control signal supplied from the servo circuit 7.

To be more specific, as shown in Figure 4, a turn table 35 is provided on the top of the spindle motor 31, and the optical disk 10 placed on the turn table 35 is turned while the recording/reproducing head 21 (magnetic head 33 and optical head 34) provided above the

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mechanical deck 32 is moved along the radius direction of the optical disk 10 in accordance with the control signal from the servo circuit 7. The magnetic head 33 is placed so as to oppose the optical head 34 through the optical disk 10.

Although it is not illustrated, driving mechanism is additionally provided, and this driving mechanism approximates the magnetic head 33 to the optical disk 10 when recording information and removes the magnetic head 33 from the optical disk 10 when reproducing information in accordance with the control signal from the system controller 3. This arrangement can eliminate problems such that dusts entered in a space between the magnetic head 33 and optical disk 10 while reproducing information cause a flaw on the optical disk 10 or the focus servo is disturbed when vibrations of the magnetic head 33 is conveyed while reproducing information.

The following will explain a more specific arrangement of the recording/reproducing head 21.

For example, as shown in Figure 5, the optical head 34 includes one semiconductor laser 41. A laser beam emitted from the semiconductor laser 41 is converged to a specific area on a recording layer of the optical disk 10 by way of a collimator lens 42, a beam splitter 43, and an objective lens 45.

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The beam splitter 44 delivers a part of the incident laser beam to a lens 46, and most of the rest to a polarized light beam splitter 50 by way of a half-wave plate 49.

On the other hand, the laser beam that comes out from the beam splitter 44 and goes into the lens 46 is given with astigmatism from a cylindrical lens 47 and reaches the photo-detector 60. Then, the laser beam is converted into an electrical signal corresponding to the intensity of the beam, and outputted to the servo circuit 7 by way of the signal processing section 23 (Figure 3) as the servo signal (focus error signal, tracking error signal).

[illegible]



As shown in Figure 6, the signal processing section 23 includes an operational circuit 71 which processes the electrical signals from the photo-detectors 54, 55, and 60 in a specific manner and generates the servo signal, data detecting signal, and wobble signal, which are respectively outputted to the servo circuit 7, data demodulating circuit 8, and wobble signal processing circuit 9.

As shown in Figure 1, the photo-detector 60 is divided into two light receiving sections 60A and 60B by the dividing line 61a along the track direction of the optical disk 10. Further, as shown in Figure 6, in the photo-detector 60, the light receiving section 60A is sub-divided into light receiving sections B and C and the light receiving section 60B is sub-divided into light receiving sections A and D by a dividing line 61b along a direction perpendicular to the track of the optical disk 10.

In addition, the photo-detectors 54 and 55 include light receiving sections E and F, respectively.

Hence, the photo-detector 60 includes four light receiving sections A-D in total, and supplies output signals SA-SD corresponding to a quantity of incident light obtained from the light receiving sections A-D to the operational circuit 71. The photo-detectors 54 and

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The operational circuit 71 generates the data detecting signals (SE-SF) from the supplied output signals SE and SF, and outputs the same to the data demodulating circuit 8.

Then, the operational circuit 71 outputs the focus error signal  $((SA+SC)-(SB+SD))$  and the tracking error signal  $((SA+SD)-(SB+SC))$  to the servo circuit 7 as the servo signal.

The following will explain a wobble signal detected from reflection light from the optical disk 10 by the foregoing recording and reproducing apparatus with reference to Figure 5 and Figures 7(a) through 7(e).

When the light spot MB1 moves forward in the first track area, an output signal waveform of the first wobble signal detected from the difference signal (tracking error signal) is the one shown in Figure 7(b) if matched to the spot position on the track, and an output signal waveform of the second wobble signal detected from the sum signal (total signal) is the one shown in Figure 7(c) if matched to the spot position on the track.

However, the actual center of the track changes in response to the wobbling, and a tracking error occurs inevitably. In other words, a positive or negative error occurs depending on whether the light spot MB1 is at the

inner or outer radius of the actual center of the track. The first wobble signal is detected by the tracing error signal generated at this point. In addition, because the track width changes in response to the shape of the wobbling groove G1, the total signal changes in response to a change in the track width. The second wobble signal is detected by the total signal generated at this point.

When the light spot MB2 moves forward in the second track area, an output signal waveform of the first wobble signal detected from the difference signal (tracking error signal) is the one shown in Figure 7(d) if matched to the spot position on the track, and an output signal waveform of the second wobble signal detected from the sum signal (total signal) is the one shown in Figure 7(e) if matched to the spot position on the track.

In the same manner as the foregoing explanation, the first wobble signal is detected by the tracking error signal, and the second wobble signal is detected by a change in the total signal.

Next, the following will explain a case where the light spots MB1 and MB2 move forward in the lands L1 and L2 adjacent to the common wobbling groove G1 with reference to Figures 7(a) through 7(e).

When a laser beam moves forward as shown by the light spot MB1 in the first track area (land L1), the





track.

Thus, the first wobble signal detected from the tracking error signal is a signal having the same polarity in both the lands L1 and L2.

Next, the second wobble signal will be discussed.

A smaller track width is given to the land L1 and the total signal becomes smaller accordingly. On the other hand, a wider track width is given to the land L2 and the total signal becomes larger accordingly.

Thus, the second wobble signal detected from the total signal is a signal having the opposite polarities in the lands L1 and L2.

Thus, when the polarities of the first and second wobble signals are compared with each other, the phases invert in the lands L1 and L2.

The following will explain a method of setting a correspondence of the wobbling polarity to the track area stored in the memory 70 provided in the wobble signal processing circuit 9.

When the output from the phase comparing circuit 68 provided in the wobble signal processing circuit 9 shows the in phase state, whether a track in question is the first track area (land L1) or the second track area (land L2) is analyzed using a reference disk with which the correspondence has been already established, and the



recording medium should be also concerned as the actual depth of the groove.

On the other hand, the total signal maintains a relation that a signal from an area given with a larger width is always greater than a signal from an area given with a smaller width.

Thus, the phase relation between the first wobble signal detected from the push-pull signal and the second wobble signal detected from the total signal depends on the depth of the groove of a used recording medium.

Consequently, the foregoing signal polarity inversion causes a problem when information is reproduced from the optical disk 10 designed for use at a different wavelength. For example, when information is reproduced by the optical head 34 using the semiconductor laser 41 having a wavelength of  $\lambda=650$  nm from a recording medium provided with grooves having a depth of 70 nm formed on its polycarbonate (PC) substrate, given 1.58 as the refractive index of the PC substrate at the wavelength of  $\lambda=650$  nm, then the depth of the groove in the recording medium is smaller than  $\lambda/4$ .

On the other hand, when information is reproduced from the foregoing recording medium by the optical head 34 using the semiconductor laser 41 having a wavelength of  $\lambda=400$  nm, given 1.62 as a refractive index of the PC

substrate at a wavelength of  $\lambda=400$  nm, then the depth of the groove converted to a wavelength is  $(70 \times 1.62)/400 = 1/3.5 = \lambda/3.5$ , in short, greater than  $\lambda/4$ .

Hence, with the optical head 34 using the semiconductor laser 41 having a wavelength of  $\lambda=400$  nm, information should be recorded/reproduced into/from a recording medium designed for use at a wavelength of  $\lambda=400$  nm with the depth of groove of approximately  $\lambda/6$ , and a recording medium designed for use at a wavelength of  $\lambda=650$  nm with the depth of groove of approximately  $\lambda/3.5$ .

As shown in Figure 8, the above inconvenience can be eliminated by providing adjusting areas 12 at the innermost and outermost areas of the optical disk 10, so that the first and second track areas are distinguished from each other by using physical properties independent of the depth of the groove.

Then, the first and second track areas are distinguished from each other with the adjusting areas 12 by using the physical properties at the start-up, and a correspondence of the wobbling polarity (phase relation between the first and second wobble signals) to the track area is found from the distinguishing (judging) result, and the correspondence thus found is stored into the memory 70. Consequently, even if the depth of the groove

The following will explain the distinguishing (judging) operation of the track area by using the foregoing adjusting areas 12 on the optical disk 10 more specifically with reference to Figures 9 and 10.

In the first example, as shown in Figure 9(a), a laser beam width is given to the first track area (land L1) and compared with the second track area (land L2) in the existing areas 12. In Figure 9(a), a laser beam coming from the objective lens 45 shows two states: when converged as a light spot MB1 (open circle) at the center of the first track area (land L1), and when converged as a light spot MB2 (circle with diagonal lines) at the center of the second track area (land L2).

When the light spot MBI moves forward in the first track area, an output signal waveform of the first wobble signal detected from the difference signal (tracking error signal) is the one shown in Figure 9(b) if matched to the spot position on the track, and an output signal waveform of the second wobble signal detected from the

sum signal (total signal) is the one shown in Figure 9(c) if matched to the spot position on the track.

When the light spot MB2 moves forward in the second track area, an output signal waveform of the first wobble signal detected from the difference signal (tracking error signal) is the one shown in Figure 9(d) if matched to the spot position on the track, and an output signal waveform of the second wobble signal detected from the sum signal (total signal) is the one shown in Figure 9(e) if matched to the spot position on the track.

The following will explain a case when the light spots MB1 and MB2 move forward in the lands L1 and L2 both adjacent to the common wobbling groove G1 with reference to Figures 9(a) through 9(e).

When a laser beam moves forward as the light spot MB1 in the first track area (land L1), the first and second wobble signals are in phase, whereas when a laser beam moves forward as the light spot MB2 in the second track area (land L2), the first and second wobble signals are anti-phase.

Further, the amplitude of the wobble signal is greater in the first track area than that in the second track area. In other words, a correspondence of the track area to the phase relation can be set from the amplitude of the wobble signal and phase comparison





Further, because a higher reflection factor is given to the first track area (land L1) compared with the second track area (land L2), the wobble signal obtained from the first track area has a wider amplitude than the one obtained from the second track area. In other words,

by comparing the amplitudes of the wobble signals and the phases of the first and second wobble signals, a correspondence of the track area to the phase relation can be set.

Not only the wobble signal, but also the total signal changes in the first and second track areas. In other words, the total signal becomes larger in the first track area given with a higher reflection factor. Thus, by comparing the levels of the total signals, and the phases of the first and second wobbles signals, the correspondence of the track area to the phase relation can be also set.

Although it is not shown in the drawing, the adjusting areas 12 may be arranged in the following manner. That is, data is recorded only the first track area (land L1), so that an area from which a data detection signal is obtained is judged as the first track area. In order to ensure the reliability of this judgment, a recording film is formed on the first track area (land L1) alone, so that no signal can be recorded into the second track area.

The foregoing recording and reproducing apparatus can judge precisely whether a track being tracked is the track at the inner or outer radius of the groove where the address information is recorded by using one laser

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beam.

In the present embodiment, the astigmatism method is adopted for the focus servo and the push-pull method is adopted for the tracking servo. However, it should be appreciated that the other servo methods are also applicable. In other words, even when the 3-beam method or DPP method is adopted for the tracking servo, the subject of the present invention is not deviated if the track area is judged by using the main beam. If the 3-beam method or DPP method is adopted for the tracking servo, the occurrence of a tracking offset caused by a shift of the objective lens or a tilt of the optical disk can be suppressed compared with the push-pull method.

In addition, the foregoing explained a case where the optical disk is provided with wobbling grooves and DC grooves, and a signal is recorded/reproduced into/from the lands. However, it should be appreciated that the present invention is applicable to an optical disk in which a signal is recorded/reproduced into/from grooves composed of wobbled lands and non-wobbled lands.

As has been discussed, a recording and reproducing apparatus of the present invention is arranged so as to include:

a photo-detector, having at least two light receiving sections divided along a track direction, for

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receiving reflection light from a track by each of said two light receiving sections;

first wobble signal detecting means for detecting a first wobble signal from a difference signal of outputs from the two light receiving sections in said photo-detecting means;

second wobble signal detecting means for detecting a second wobble signal from a sum signal of the outputs from the two light receiving sections in said photo-detecting means; and

wobbling polarity judging signal generating means for generating a wobbling polarity judging signal used in judging a wobbling polarity of a track being tracked by comparing phases of the first and second wobble signals detected.

Accordingly, the wobbling polarity judging signal generating means generates the wobbling polarity judging signal used in judging the wobbling polarity of a track being tracked by comparing the phases of the first and second wobble signals detected separately from reflection light from the track. Thus, the wobbling polarity of the track being tracked can be judged accurately in real time by using one laser beam.

Hence, by providing track area judging means for judging whether a track area being tracked is a first

Because the track area is judged in real time based on the wobbling polarity, even if an unwanted track jump occurs in a recording medium in which common address information is wobbled in the first and second track areas, a signal can be recorded/reproduced into/from a track area which has been controlled right before the track jump occurred as an effect.

Further, the recording and reproducing apparatus may be additionally provided with storage means for storing a correspondence of the wobbling polarity to the track area used as a track judging reference by the track area judging means.

In this case, by storing into the storage means a correspondence of the wobbling polarity to the track area

used as the track judging reference by the track area judging means, more specifically, a correspondence of the wobbling polarity to the track area analyzed by using a pre-adjusted reference recording medium, the track area can be judged based on the pre-stored correspondence of the wobbling polarity to the track area even when there is a difference between the recording and reproducing apparatuses or the polarity of the first or second wobble signal is not identical.

Thus, replacement of wiring or changing of the switch setting in the recording and reproducing apparatus is not necessary to make the polarity of the first or second wobble signal identical, thereby saving the manufacturing costs of the recording and reproducing apparatus as an effect.

Further, the recording and reproducing apparatus may further include recording/reproducing control means for directing to suspend information recording/reproducing, if the wobbling polarity judging signal generated by the wobbling polarity judging signal generating means is a signal that shows irregular inversion of the wobbling polarity for an intended track jump.

By providing the recording/reproducing control means for directing to suspend information recording/reproducing if the wobbling polarity judging

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signal is a signal that shows irregular inversion of the wobbling polarity for an intended track jump, that is, a signal showing an abnormal wobbling polarity inversion, even if an unwanted track jump can not be detected, the recording can be suspended immediately while information is recorded to minimize damages to the recorded data, and the reproducing can be suspended immediately while information is reproduced, thereby shortening the reproduction processing time.

In order to solve the above problems, a recording medium of the present invention is arranged in such a manner that an adjusting area indicating a correspondence of the wobbling polarity to the track area is formed thereon.

Thus, the correspondence can be initialized for each recording medium and by setting a correspondence of the wobbling polarity to the track area for each recording medium, a respective correspondence can be set to each of the recording media of two kinds having opposite phase relation between the first and second wobble signals having different depths of the grooves. Thus, the limitation of the depth of the groove in a used recording medium can be removed, thereby increasing manufacturing flexibility of the recording medium as an effect.

In addition, different widths may be given to the

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first track area and second track area in the adjusting area.

In this case, by comparing physical properties (amplitude of the wobble signal, a level of the total signal, etc.) in the first and second track areas, the track area can be judged precisely as an effect.

Further, in case that the recording medium is a disk, the adjusting area may be provided in at least one of the innermost and outermost areas of the disk.

By forming the adjusting area in the vicinity of a recording start position, a correspondence of the wobbling polarity to the track area can be set at the start-up of the recording medium, that is, when the recording medium starts to turn. Consequently, as an effect, the start-up takes a shorter time compared with a case when setting a correspondence of the wobbling polarity to the track area in an actual recording area of the recording medium.

In addition, when the adjusting areas are provided at the innermost and outermost areas of the disk of the recording medium, the correspondence of the wobbling polarity to the track area can be confirmed in two areas, thereby making it possible to set a reliable correspondence as an effect.

The invention being thus described, it will be

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7. The recording medium of Claim 6, wherein different widths are given to the first track area and second track area in said adjusting area.

8. The recording medium of Claim 6, wherein, in case that the recording medium is a disk, said adjusting area is provided in at least one of innermost and outermost areas of said disk.

## ABSTRACT OF THE DISCLOSURE

A recording and reproducing apparatus of the present invention includes a photo-detector having light receiving sections divided along the track direction of an optical disk. The apparatus also includes a wobble signal processing circuit for generating a wobbling polarity judging signal used in judging a wobbling polarity of a track being tracked by comparing phases of a first wobble signal detected from a difference signal of the outputs of the light receiving sections and a second wobble signal detected from a sum signal of the outputs of the light receiving signals. Consequently, whether the wobbled side wall of the track being tracked is the inner or outer radius of track can be judged in real time by a simple structure using one laser beam.

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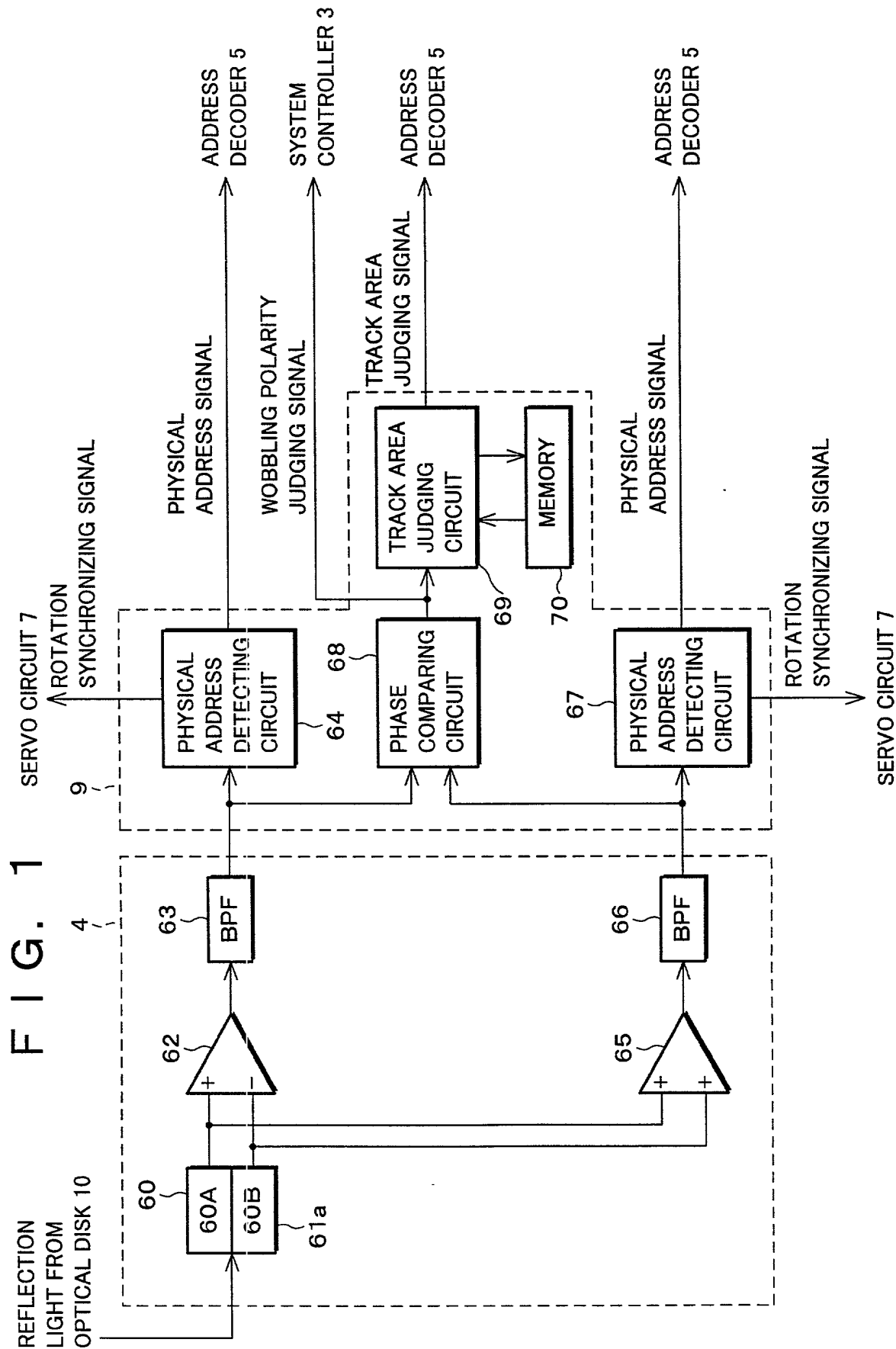


FIG. 2

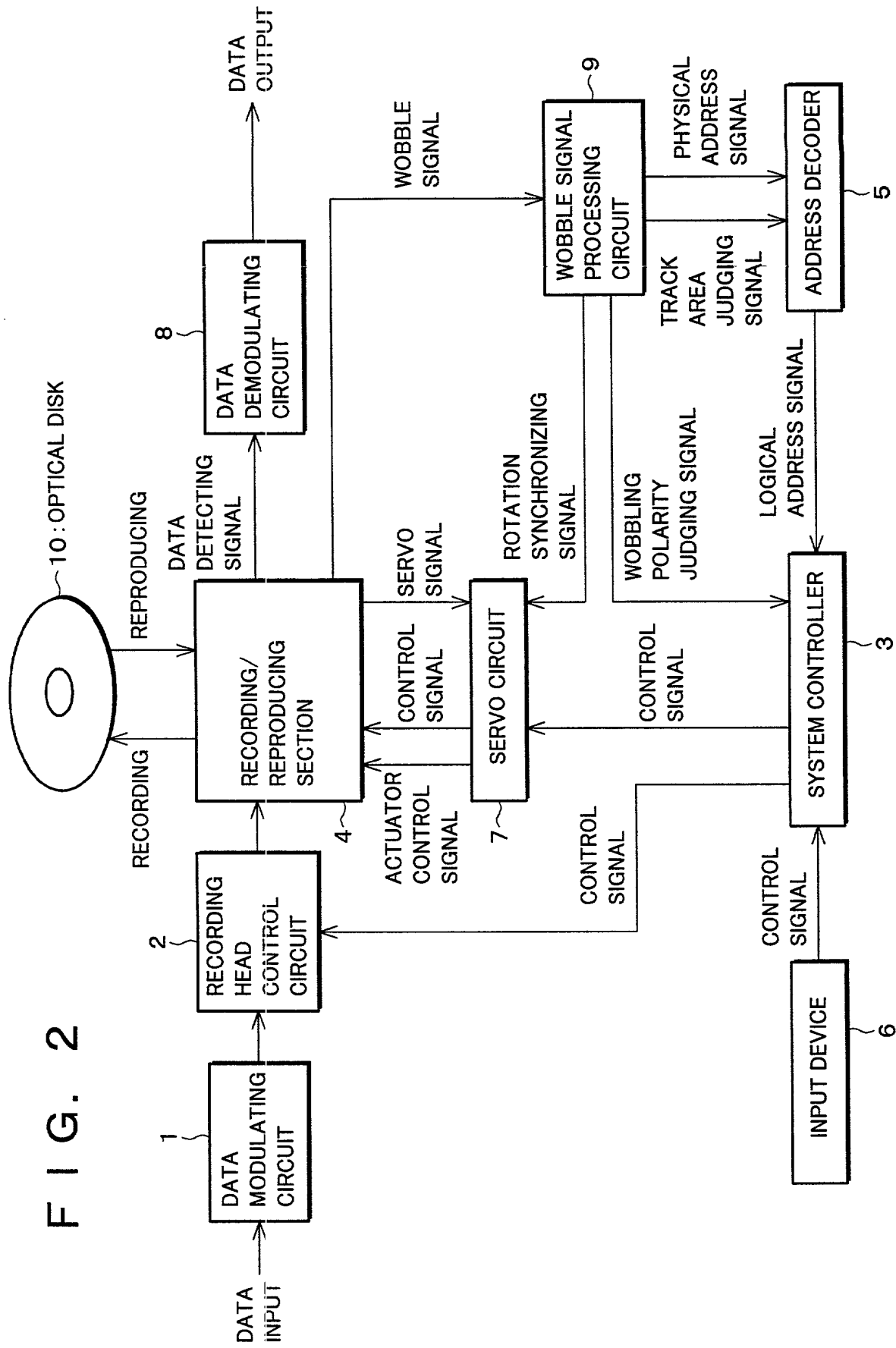


FIG. 3

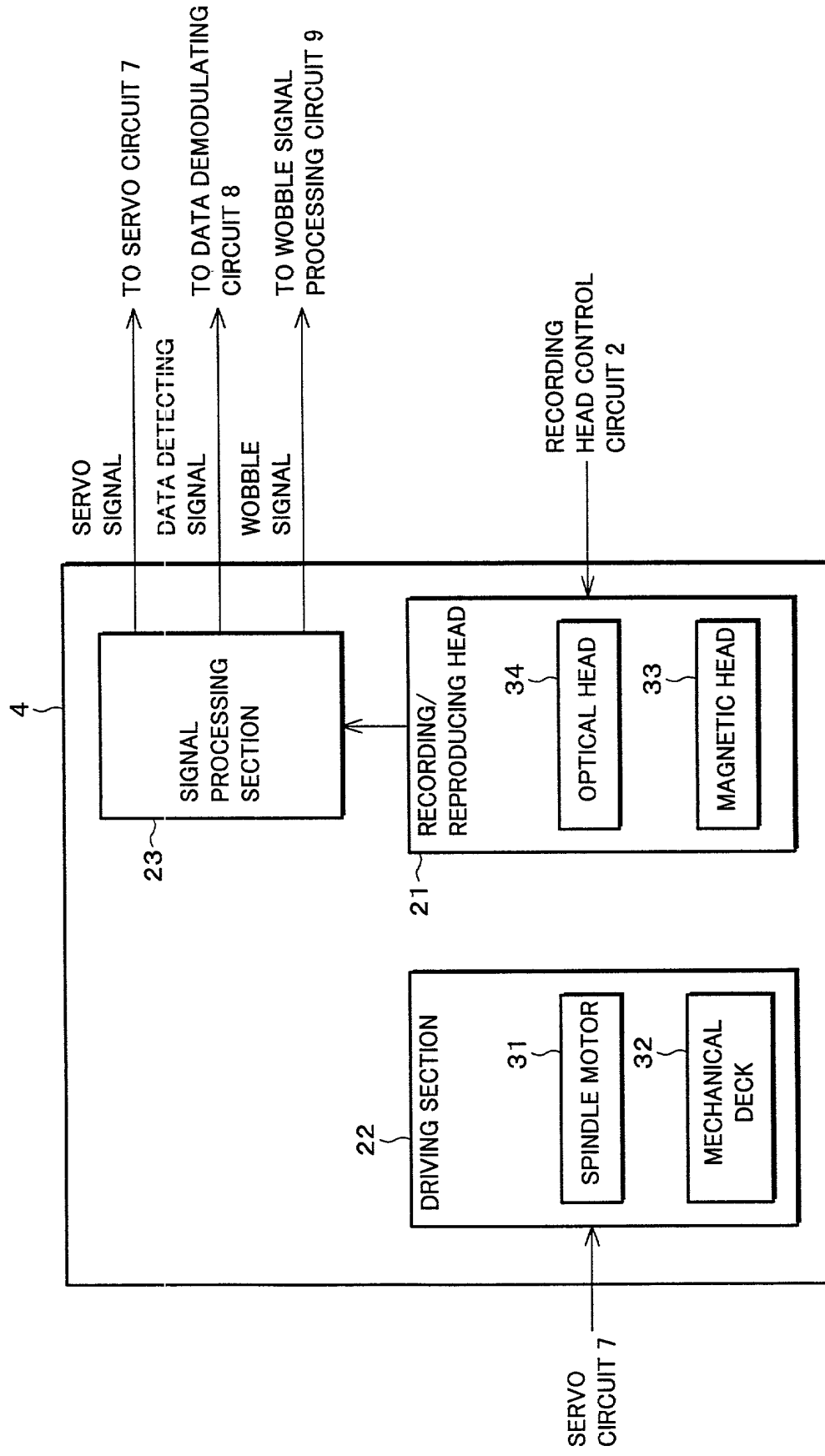




FIG. 4

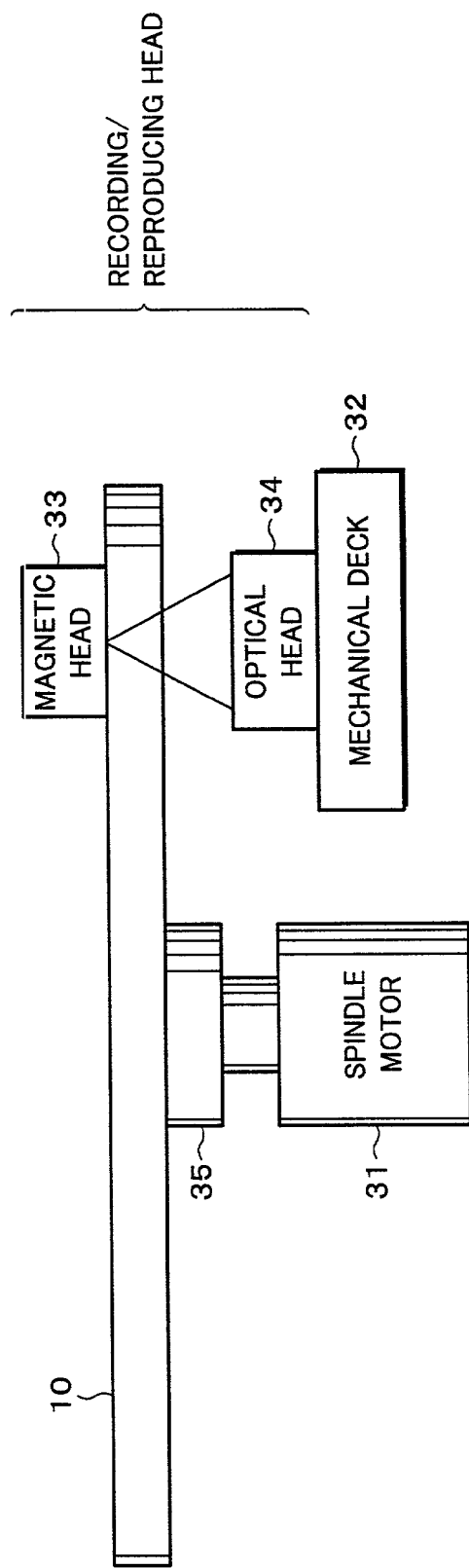




FIG. 6

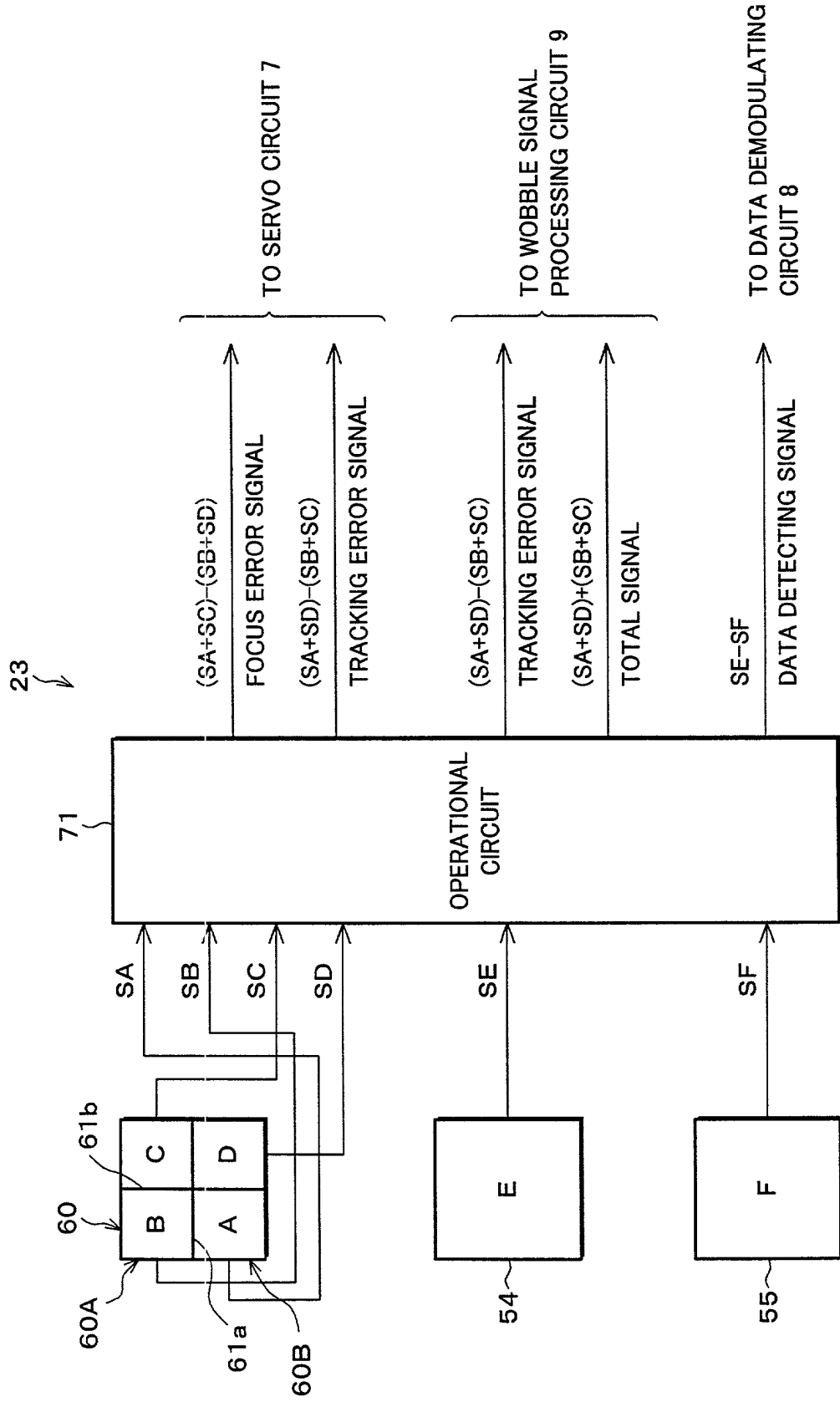






FIG. 9(a)

FIG.10(a)

FIG.11

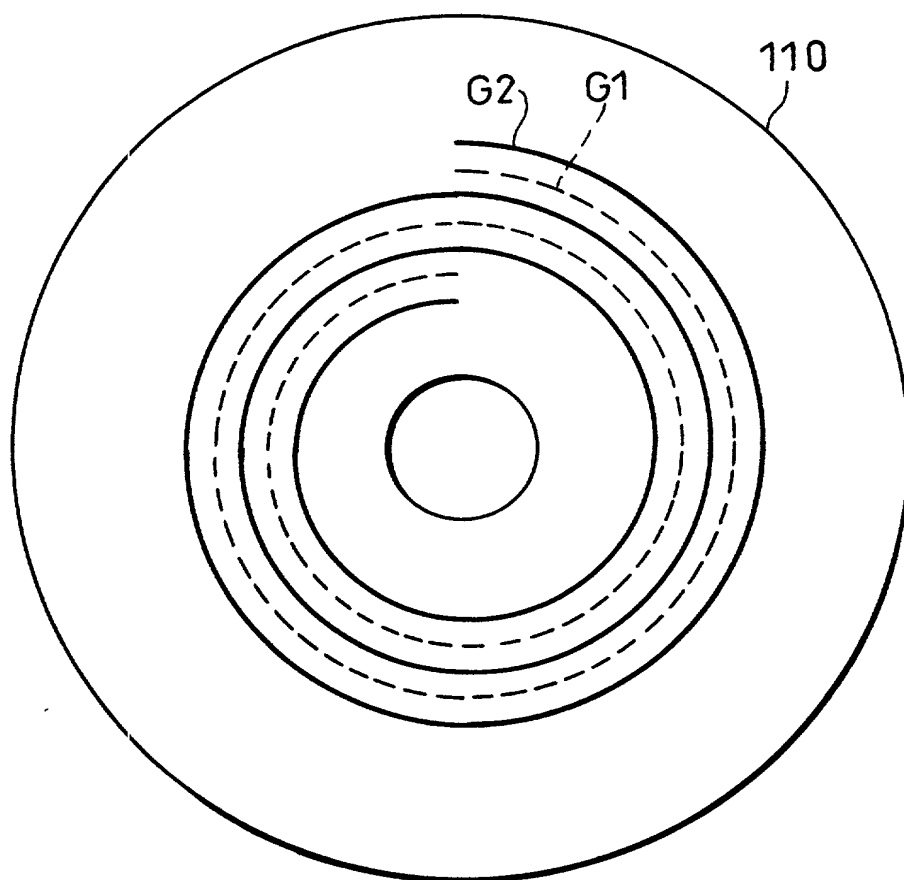
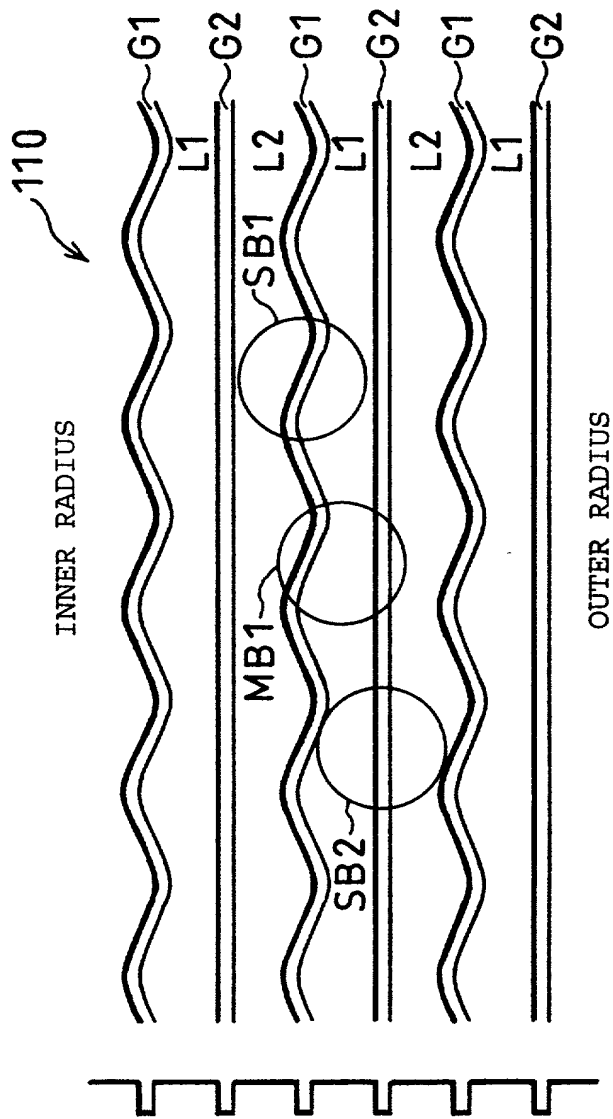




FIG. 12



Attorney's Docket No. \_\_\_\_\_

Page 1 of 4

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed at 201) below or an original, first and joint inventor (if plural names are listed at 201-208 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RECORDING AND REPRODUCING APPARATUS AND RECORDING MEDIUM

which is described and claimed in:

- ☒ the specification attached hereto.
- ☐ the specification in U.S. Application Serial Number \_\_\_\_\_, filed on \_\_\_\_\_.
- ☐ the specification in PCT international application Number \_\_\_\_\_,  
filed on \_\_\_\_\_; and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

[illegible]

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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U.S. Applications			Status (Check One)		
Application Serial No.	U.S. Filing Date	Patented	Pending	Abandoned	
PCT Applications Designating the U.S.					
Application No.	Filing Date	U.S. Serial No. Assigned			

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)**  
(35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Applicant	Provisional Application Number	Filing Date

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I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature of Inventor 201 <i>Nobuo Ogata</i>	Signature of Inventor 202
Date: May 10, 2000	Date: